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1 **Sampling trees to develop allometric biomass models: How does tree selection affect model**
2 **prediction accuracy and precision?**

3

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10

11 **Abstract**

12

13 Developing allometric biomass models is an important process because reliability of forest biomass
14 and carbon estimations largely depend on the accuracy and precision of such models. The effects of
15 tree sampling on tree aboveground biomass (AGB) prediction accuracy and precision are complex
16 and can, therefore, be difficult to quantify. In this paper we use a Monte Carlo simulation to
17 investigate how model prediction accuracy and precision are affected by tree sampling approaches.
18 Because diameter at breast height (D, in cm) is the most common predictor of tree AGB (in kg dry
19 weight), we focused our analysis on the AGB-D relationship. The following sample characteristics
20 were investigated: (i) sample size; (ii) extent of the D-range (difference between the largest and the
21 smallest D value); (iii) position of D-range (characterized by the starting point of D-range); and (iv)
22 the size-distribution (distribution of D) of sample trees. We found that, although the natural variability
23 of AGB-D relationship was a key driver for both prediction accuracy and precision, the above sample
24 characteristics were important for improving prediction accuracy. Although having a negligible effect
25 on precision, both sample size and size-distribution of sample trees, greatly influenced prediction
26 accuracy. We demonstrate that selecting a constant number of trees for each D class (i.e. uniform
27 distribution of the sample trees over the D-range) generally produced models that were more accurate
28 predictors of AGB. The extent and position of D-range, although considerably affecting the goodness

29 of fit and the standard errors of allometric model parameters, had only a marginal effect on AGB
30 prediction accuracy and precision. Furthermore, we showed that R^2 was a poor indicator of model
31 prediction accuracy and precision, due to its sensitivity to changes in D-range. These findings inform
32 certain practical recommendations we report for improving the accuracy and precision of biomass
33 prediction.

34

35 **Keywords:** allometric biomass models, tree sample size, aboveground biomass, diameter at breast
36 height, diameter distribution, sampling characteristics

37

38 **Abbreviations**

39	D	tree diameter at breast height (in cm);
40	AGB	aboveground biomass of a tree (in kg dry weight);
41	D-range	an interval of simulated D observations used to develop an allometric model, and
42		characterized by the starting and ending points of the interval;
43	S ₃	a D-range between 0.1 and 60 cm;
44	S ₂	a D-range between 10 and 60 cm;
45	S ₁	a D-range between 20 and 60 cm;
46	I _{min}	a D-range between 30 and 60 cm;
47	B ₁	a D-range between 30 and 70 cm;
48	B ₂	a D-range between 30 and 80 cm;
49	B ₃	a D-range between 30 and 90 cm;
50	I _{max}	a D-range between 0.1 and 90 cm;
51	RSE	residual standard error;
52	<i>n</i>	sample size;
53	β ₀	the intercept of a linear allometric model in logarithmic scale;
54	β ₁	the slope of linear allometric model in logarithmic scale;
55	SE(β ₀)	standard error of the intercept;
56	SE(β ₁)	standard error of the slope;
57	R ²	coefficient of determination;
58	P _A	standard deviation of relative bias, reported as a measure of prediction accuracy;
59	P _P	mean coefficient of variation of predicted biomass, reported as a measure of
60		prediction precision.

61

62 **1. Introduction**

63 It is widely accepted that forests play a critical role in the fight against climate change (Grassi et al.,
64 2017), and that the accumulation of carbon in tree biomass is regarded as an important service

65 provided to society. However, the development of sustainable mitigation measures and programmes
66 such as REDD+ (Reducing Emissions from Deforestation and Forest Degradation) requires that
67 accumulation of carbon in forests is accurately and precisely estimated. Estimating carbon
68 accumulation in forests is typically achieved using forest inventory records, to which allometric
69 models are applied (Brown, 2002; Chave et al., 2004; Clark et al., 2001; Stephenson et al., 2014). To
70 determine carbon sequestration forest biomass is first estimated, then, using a constant proportionality
71 ratio, e.g. 0.47 (IPCC, 2006), the equivalent carbon content may then be calculated, which can be
72 further converted to express CO₂. Therefore, since the ratio between biomass and carbon is a constant,
73 the terms ‘carbon accumulation’ and ‘biomass accumulation’ have approximately the same meaning.

74 Producing accurate and precise predictions of biomass is challenging for several reasons.
75 First, it needs an unbiased forest inventory design with accurate measurements of tree attributes.
76 Second, it requires that allometric biomass models are representative for the forest inventory data to
77 which the model is applied. Selection of the allometric model has been shown to be an important step
78 for reducing biomass prediction uncertainty (Picard et al., 2015). Allometric biomass models are
79 nonlinear regression models that typically use tree diameter at breast height (D, in cm) and/or tree
80 height (H, in m) to predict tree aboveground biomass (AGB, in kg dry weight). Models are based on a
81 sample of trees for which biomass was measured. Representativeness of the model to the forest
82 inventory data requires that sample trees are selected from the inventoried population. Allometric
83 biomass models were shown to be greatly influenced by site conditions (Dutcă et al., 2018a). This in
84 turn may increase the complexity of tree sampling and reduce their transferability of the models to
85 other sites (Dutcă, 2019).

86 The range of tree sizes and their distribution across the range are important prerequisites for
87 determining sample strata. The range represents the difference between largest and the smallest value
88 of predictor (e.g. D) for the sample trees used to build the model. The distribution of sample trees (on
89 D-range) is often referred to as ‘D class distribution’ (Chave et al., 2004; Roxburgh et al., 2015)
90 because D is usually measured in forest inventories in scales of increment categories (e.g. intervals of
91 2 cm). However, when developing allometric biomass models, diameter at breast height (D) is
92 measured as accurately as possible and represented as a continuous variable.

93 Because allometric models are site-specific (Dutcă, 2019; Dutcă et al., 2018a), there are
94 numerous examples of published allometric models based on trees sampled from one or few forest
95 stands (Chojnacky et al., 2014; Jia et al., 2015; Marziliano et al., 2015; Morhart et al., 2016, 2013;
96 Mosseler et al., 2014; Zianis et al., 2005), which therefore have limited and less than optimal D-range.
97 Alternatively, allometric models may be deliberately developed to represent biometrics of small trees
98 only (e.g. Pajtkík et al. 2008; Dutcă et al. 2010; Blujdea et al. 2012; Ciuvat et al. 2013). Nevertheless,
99 tree size is subject to natural limitations; maximum tree height is influenced by physiological stress
100 and resource abundancy as well as hydraulic constraints (Koch et al., 2004). Although maximum tree
101 height is physically limited, trees continue to accumulate biomass by increasing their diameter
102 (Stephenson et al., 2014). Generic allometric models and biomass databases often include very large
103 trees, for example, D of up to 212 cm (Chave et al., 2014), up to 293 cm (Jucker et al., 2017) or even
104 as much as 648 cm (Falster et al., 2015).

105 The process of biomass measurement is very resource intensive. It is, therefore, important that
106 sampling is optimized to ensure that the resulting allometric model predicts biomass as accurately and
107 precisely as possible. In this paper, using a Monte Carlo analysis, we investigate which approaches of
108 tree selection affect biomass prediction accuracy and precision and how these factors exert their
109 influence. The sample characteristics that were investigated are: (i) sample size; (ii) the extent of D-
110 range (i.e. difference between largest and the smallest sample tree); (iii) position of D-range (i.e. the
111 starting or ending point of the range); and (iv) the distribution of sample trees (i.e. the frequency
112 distribution of selected trees across the D-range).

113 To demonstrate the effects of sample characteristics on biomass prediction accuracy and
114 precision we performed a simulation study. This involved the following steps: (1) bivariate sets of
115 AGB-D data were simulated to capture key characteristics of the sample trees (e.g. AGB-D
116 variability, sample size, D-range, size-distribution of the sample trees); (2) allometric biomass models
117 were fitted to simulated data; (3) the allometric biomass models were then applied to predict the
118 biomass in a plot and the errors from model parameters and residual variability were propagated to
119 determine their effects on plot AGB prediction; (4) the AGB prediction accuracy and precision (at
120 plot level) were assessed; (5) an examination was made to identify which characteristics of the sample

121 trees considered in the first step (i.e. AGB-D variability, sample size, D-range, size distribution of the
122 sample trees) affected the model's prediction accuracy and precision, and to determine the nature and
123 extent of these affects. Our study aims to inform improvements in the overall accuracy and precision
124 of biomass prediction for forests, and to suggest measures for developing robust allometric biomass
125 models.

126 2. Material and methods

127

128 2.1. Some rationale on the simulation design

129 Although logarithmic transformation (Huxley, 1932; Snell, 1892) is widely regarded as a standard
130 procedure in the development of allometric biomass models, its use is the subject of some debate
131 (Kerkhoff and Enquist, 2009; Packard, 2012; Packard and Boardman, 2008; Xiao et al., 2011). The
132 standard assumptions of this type of transformation are: (i) heteroscedasticity, which is common in
133 allometric models, is entirely removed by transformation; and (ii) because errors are lognormally
134 distributed when back-transformed (original scale), they will be normally distributed in log-log scale.
135 If these two assumptions hold true, then the back-transformed errors can be assumed to be
136 multiplicative (Cole and Altman, 2017). In other words, the back-transformed residuals may be
137 expressed as a ratio between observed and predicted biomass and therefore indicate the percent
138 variation of observed biomass relative to predicted biomass. However, if the two assumptions do not
139 hold true, then the logarithmic transformation is not recommended, as the general assumptions of a
140 linear model (e.g. normality of residuals, homogeneity of variance) would not be met. Xiao et al.
141 (2011) showed that although both the multiplicative and the additive error-type relationships occur in
142 nature, multiplicative errors were much more frequent. Also, because diameter at breast height (D) is
143 the most common predictor of individual tree aboveground biomass (AGB), we have focused our
144 simulation on AGB-D relationship, starting with a log-log linear model:

$$145 \ln(\text{AGB}) = \beta_0 + \beta_1 \cdot \ln(D) + \varepsilon \quad (\text{Eq. 1})$$

146 Where: AGB is the aboveground biomass (in kg dry weight); D is the diameter at breast height (in
147 cm); 'ln' is the natural logarithm; β_0 and β_1 are the model parameters in logarithmic scale; and ε is
148 the additive error term (additive for the log-log scale), normally distributed with a mean of zero. We
149 then defined some true parameters for a hypothetical population. Because the population is
150 hypothetical, to make the values of parameters credible, we derived the parameters from a real
151 biomass dataset reported by Schepaschenko et al. (2017). The true model parameters for our
152 hypothetical population were:

$$153 \ln(\text{AGB}) = -2.11 + 2.33 \cdot \ln(D) + \varepsilon \quad (\text{Eq. 2})$$

154 Starting with these true parameters, we generated random sets of $\ln(\text{AGB}) - \ln(\text{D})$ data which were
155 further fitted. The error term (ε in Eq. 2) is normally distributed with the mean zero and standard error
156 of residuals, RSE. The resulting model was then applied to a plot dataset to estimate the biomass.
157 Each generated dataset had specified characteristics, such as RSE (residual standard error) of log-log
158 model, number of observations, D-range extent, position and distribution. A Monte Carlo approach
159 (described below) was used.

160

161 **2.2. Natural variability of AGB-D relationship**

162 Sampling design should capture the natural variability of AGB-D relationship that is intrinsic to the
163 population. Because we assumed that heteroscedasticity is removed by logarithmic transformation and
164 that errors are lognormally distributed in original scale, the natural (or intrinsic) variability of AGB-D
165 relationship can be expressed as the residual standard error (RSE) of the log-log linear model (see Eq.
166 2). Since the residuals of a back-transformed log-log linear model show relative variation of AGB
167 (relative to predicted AGB), the RSE can be interpreted, for original scale, as a form of coefficient of
168 variation (Cole and Altman, 2017). We tested two values of RSE in this study, 0.2 and 0.3, which can
169 be interpreted as 20% and 30% coefficient of variation. These two values lie within the expected
170 range for allometric biomass models (Roxburgh et al., 2015).

171

172 **2.3. Sample characteristics**

173

174 **2.3.1. Number of observations (sample size)**

175 The number of sample trees necessary to develop an allometric model depends on the precision
176 required, the level of intrinsic variability in the AGB-D relationship and other factors. Roxburgh et al.
177 (2015) performed a simulation study to find the number of sampled trees necessary to develop
178 allometric models. They concluded that, given the intrinsic variability of trees and the differences
179 between distribution of tree diameters used to construct the model and the distribution of tree
180 diameters of the inventory data, a number of anywhere between 17 to 166 trees were required to
181 obtain prediction with a standard deviation within 5% from the mean. However, Picard et al. (2012)

182 suggested that approximately a minimum number of 100 trees was needed to construct reliable
 183 volume models. In our simulation design we tested three values of sample size, $n = 100$, $n = 150$ and n
 184 $= 1000$ trees. The first two values ($n = 100$ and $n = 150$) were intended to determine the effect of a
 185 50% increase in sample size, as to compare it to a 50% increase in RSE (from RSE = 0.2 to RSE =
 186 0.3). The third value ($n = 1000$) was intended to see how increasing the sample size influences model
 187 prediction performance.

188

189 **2.3.2. The extent of D-range**

190 The range of diameter at breast height (D) used in allometric biomass models varies greatly. In a
 191 review of allometric models, Zianis et al. (2005) most models were based on a relatively narrow D-
 192 range with no consistent starting point (minimum D) for the range. For example, the largest tree of 90
 193 cm was recorded in an allometric model for *Quercus ilex* in Italy and the minimum recorded diameter
 194 was 20 cm. Comparable maximum limits of D-range are reported in recent biomass datasets for boreal
 195 and temperate forests (Schepaschenko et al., 2017; Ung et al., 2017), and larger D-range are reported
 196 for trees sampled in tropical regions (Chave et al., 2014; Falster et al., 2015; Jucker et al., 2017). For
 197 our simulation study, we assumed a maximum D-range in allometric biomass models between 0.1 and
 198 90 cm (after the D-range reported by Zianis et al., 2005), and divided the range into three equal
 199 diameter intervals of 30 cm. Starting from the second interval (i.e. $I_{\min} = [30, 60]$), we gradually
 200 expanded I_{\min} in two directions (i.e. towards small diameter and towards large diameters) until
 201 reaching the limits of the maximum D-range. This resulted in seven D-ranges. We examined the
 202 entire D-range (i.e. $I_{\max} = [0.1, 90]$), thereby testing a total of eight D-ranges (as summarised in Table
 203 1).

204

205 **Table 1**

206 D-ranges used for simulation (D is the diameter at breast height)

Code	D-range (cm)	Description
S ₃	[0.1, 60]	$I_{\min} + 30$ cm towards small diameters
S ₂	[10, 60]	$I_{\min} + 20$ cm towards small diameters
S ₁	[20, 60]	$I_{\min} + 10$ cm towards small diameters

I_{\min}	[30, 60]	The minimum D-range
B_1	[30, 70]	$I_{\min} + 10$ cm towards large diameters
B_2	[30, 80]	$I_{\min} + 20$ cm towards large diameters
B_3	[30, 90]	$I_{\min} + 30$ cm towards large diameters
I_{\max}	[0.1, 90]	The maximum D-range

207

208 **2.3.3. The position of D-range**

209 The position of D-range is characterized by the starting point of D-range. Each member of each pair
 210 of identical D-range extent began at a different position (Table 1). For example, the ranges S_1 and B_1
 211 have the same 40 cm range but their starting positions differ by 10 cm. This difference increases to 20
 212 cm for S_2 vs. B_2 and to 30 cm for S_3 vs. B_3 (Table 1).

213

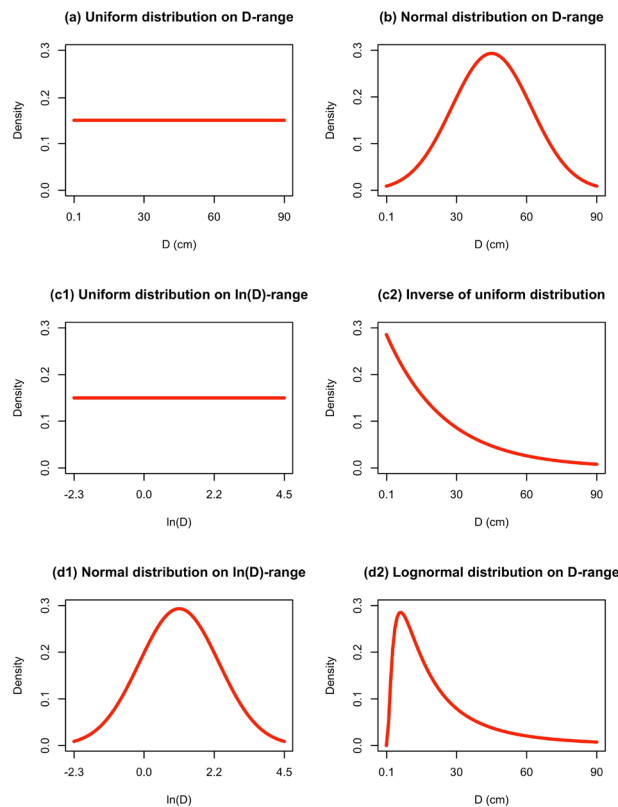
214 **2.3.4. Distribution of sample trees**

215 The frequency distribution required for sampling trees and for developing robust models is an
 216 important consideration because it determines the level of resources and logistics required for
 217 measuring biomass. If trees were entirely randomly sampled, the sample size-distribution would
 218 approach that of the population. However, trees are not entirely randomly sampled because the sample
 219 is first stratified for each D-class, before random sampling is conducted within D-classes (McRoberts
 220 et al., 2015). A ‘D class’ groups trees within a specified D-range. Thus, for a 2 cm D class the entire
 221 D-range is divided into intervals (classes) of 2 cm (e.g. $D = 10$ to 12 cm). Workers therefore are able
 222 to determine how they represent frequency distributions through their selection of the range
 223 represented and the bins for each D-class. Nevertheless, the distribution of sample trees will influence
 224 how well the model is informed across the range of D, with consequences for confidence in model
 225 prediction. In our simulation, we explored four types of distribution (Fig. 1):

226 (a) Uniform distribution on D-range (Fig. 1, a) of the sample frequency, where a constant number
 227 of sample trees is selected for each D class.

228 (b) Normal distribution on D-range of the sample frequency (Fig. 1, b), where the sample
 229 frequency reflects a normal distribution of D. In other words, the largest number of sample
 230 trees is from the middle of D-range and decreases towards the margins of the range;

231 (c) Uniform distribution on $\ln(D)$ -range (Fig. 1, c1), which, for the original scale is equivalent to
 232 inverse of uniform distribution (Fig. 1, c2, the result of exponentiation of observations
 233 sampled from a uniform distribution on $\ln(D)$ -range).
 234 (d) Normal distribution on $\ln(D)$ -range (Fig. 1, d1). This is equivalent to lognormal distribution
 235 on D -range (Fig. 1, d2). For both, the uniform and normal distribution on $\ln(D)$ -range, a
 236 larger number of small trees is sampled compared to large trees (Fig. 1, c2 and d2).



237
 238 **Fig. 1.** Distributions of sample trees used for simulations: (a) Uniform distribution on D -range (D is
 239 the tree diameter at breast height); (b) Normal distribution on D -range; (c1) Uniform distribution on
 240 $\ln(D)$ -range, which is equivalent to the inverse of uniform distribution (c2); (d1) Normal distribution
 241 on $\ln(D)$ -range, for which, the equivalent of original scale is the lognormal distribution (d2).

242
 243 It is relatively straightforward to define D -limit ranges for uniform distributions. However, the normal
 244 distribution for D theoretically extends to infinity. For our simulation we therefore sampled from a
 245 truncated normal distribution, for which the lower and upper bounds of D -range were established
 246 using the ‘truncnorm’ package in R (Mersmann et al., 2018). We set the D -range to correspond to \pm

247 two standard deviations, equal to an interval expected to include 95% of observations from a normal
248 distribution. The mean of the normal distribution (μ_d) was the mean of D of the corresponding
249 sample:

$$250 \mu_d = D_{\min} + \frac{(D_{\max} - D_{\min})}{2} \quad (\text{Eq. 3})$$

251 and the standard deviation (σ_d) was calculated as:

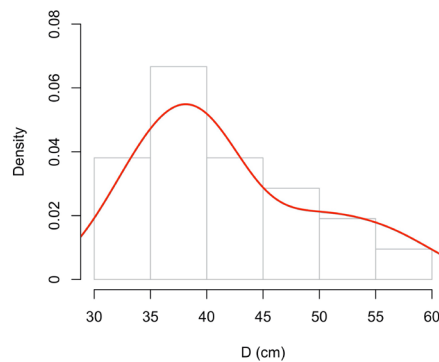
$$252 \sigma_d = \frac{\mu_d - D_{\min}}{2} \quad (\text{Eq. 4})$$

253 where D_{\min} and D_{\max} are the minimum and maximum limits of the D-range of interest (Table 1). For
254 example, the normal distribution for $I_{\min} = [30, 60]$ cm was defined by the mean, $\mu_d = 45$, with
255 standard deviation, $\sigma_d = 7.5$.

256

257 **2.4. Plot data**

258 We compared the accuracy and precision of model simulations for estimating the biomass in a plot.
259 Each allometric model developed on simulated data was applied to estimate the biomass in a 500 m²
260 plot. The plot contained 21 trees for which biomass was predicted as a function of D using all
261 simulated models. Because $I_{\min} = [30, 60]$ was the largest interval common to all the D-ranges tested,
262 we selected a plot that contained only tree diameters that fell within this interval (Fig. 2). The purpose
263 of this plot was therefore to provide a reference for prediction for all the simulated models in this
264 study. In total, 0.96 million allometric models (5000 simulations \times 2 RSE values \times 3 sample sizes \times 4
265 types of distribution \times 8 D-ranges) were simulated. Therefore, the value of AGB predicted from this
266 plot is that it provides a baseline for comparing AGB results predicted by other model that use
267 different sample characteristics.



268

269 **Fig. 2.** The size distribution of the 21 sample trees in the plot. Note: D is the diameter at breast height;
270 the red curve represents the kernel density; the grey bars represent the density of each D-class (width
271 of 5 cm).

272

273 It is known that models have a poorer prediction performance at the extremes of the covariate range.

274 For example, a biomass model developed on sample trees with a D-range of 0.1 to 90 cm would

275 normally perform best when predicting biomass for trees at the centre of D-range ($D = 45$ cm) and

276 progressively worse approaching the sample extremes of $D = 0.1$ cm or $D = 90$ cm. Therefore, one

277 study objective was to investigate how models perform across the D-range. Consequently, another

278 reason for working with a single plot with D-range restricted to I_{\min} was to investigate the

279 performance of models when only part of the D-range was used for prediction. A third reason for

280 working with only one plot was to exclude other potentially confounding sources of uncertainty. In

281 this study we aimed to describe only that uncertainty arising from model parameters and residuals,

282 and intentionally avoided introducing potentially confounding effects of between site variations.

283

284 **2.5. Monte Carlo simulation**

285

286 A Monte Carlo analysis was used to assess the effects of sampling approaches on biomass prediction.

287 We followed the next steps:

288 1. For the k th simulation ($K = 5000$, is the total number of simulations), an allometric model was

289 developed and then applied to predict biomass in the plot. The allometric model was developed

290 based on simulated $\ln(\text{AGB})-\ln(D)$ data selected from the hypothetical population:

291 1.1. defined a vector representing the errors of log-log linear model. The length of this vector was

292 equal to the sample size (i.e. three values of sample size were used in this analysis, $n = 100$, n

293 $= 150$ and $n = 1000$, see section 2.3.1). The elements of the vector were randomly selected

294 from a normal distribution with the mean zero and standard deviation either 0.2 or 0.3. Later

295 in the simulation design, the standard deviation of this distribution will become the residual

296 standard error (RSE) of the allometric model. Two values of RSE were used, RSE = 0.2 and
297 RSE = 0.3, see section 2.2.

298 1.2. defined a vector containing sample $\ln(D)$ values, which were randomly selected from a
299 specific distribution type (i.e. four types of distribution were used, see section 2.3.4) and a
300 specific D-range (i.e. a total of eight ranges were used, Table 1). Because models were fitted
301 in log-log scale for uniform and normal distributions of D-range (Fig. 1, a and b), we
302 randomly selected the sample D values from a uniform and normal distribution on D-range
303 and then log-transformed the sampled values (to obtain $\ln(D)$ values). For uniform and
304 normal distributions on $\ln(D)$ -range, we sampled the $\ln(D)$ values directly in log-log scale,
305 from a uniform and respectively normal distribution on $\ln(D)$ -range (Fig. 1, see c1 and d1).
306 For each of the k^{th} simulation, a distinct set of $\ln(D)$ values was generated, $\ln(D)_{(k)}$.

307 1.3. defined a vector (the length of the vector equals the sample size, see section 2.3.1) containing
308 the sample $\ln(\text{AGB})$ values. Using the $\ln(D)_{(k)}$ values (obtained at step 1.2) and the error term
309 (obtained at step 1.1) in Eq. 2, we generated the set of $\ln(\text{AGB})$ values, which is also distinct
310 for each of the k^{th} simulation, $\ln(\text{AGB})_{(k)}$.

311 1.4. fitted a linear model on the bivariate set of $\ln(\text{AGB})_{(k)}$ (obtained at step 1.3) and $\ln(D)_{(k)}$
312 values (obtained from step 1.2):

$$313 \quad \ln(\text{AGB})_{(k)} = \beta_{0(k)} + \beta_{1(k)} \cdot \ln(D)_{(k)} + \varepsilon_{(k)} \quad (\text{Eq. 5})$$

314 1.5. We retained the standard errors of model parameters, $\text{SE}(\beta_{0(k)})$ and $\text{SE}(\beta_{1(k)})$, and the
315 coefficient of determination for the k^{th} simulation ($R^2_{(k)}$):

$$316 \quad R^2_{(k)} = 1 - \frac{\sum (\ln(\text{AGB})_{i(k)} - \widehat{\ln(\text{AGB})}_{i(k)})^2}{\sum (\ln(\text{AGB})_{i(k)} - \overline{\ln(\text{AGB})}_{(k)})^2} \quad (\text{Eq. 6})$$

317 Where $\ln(\text{AGB})_{i(k)}$ is the i^{th} observed $\ln(\text{AGB})$ in the k^{th} simulation; $\widehat{\ln(\text{AGB})}_{i(k)}$ is the i^{th}
318 predicted $\ln(\text{AGB})$ in the k^{th} simulation and $\overline{\ln(\text{AGB})}_{(k)}$ is the mean of all $\ln(\text{AGB})$ values in
319 the k^{th} simulation.

320 1.6. defined the variance-covariance matrix to account for the covariance between $\beta_{0(k)}$ and $\beta_{1(k)}$
321 in the following steps.

322 2. The allometric model developed within steps #1.1 to #1.6 (one model for each k^{th} simulation) was
 323 used to estimate the plot biomass. To propagate the uncertainty from each allometric model (i.e.
 324 from model parameters and residual variance) to the plot level estimates, a loop of $J = 5000$
 325 repetitions was used, adapted from McRoberts et al. (2015, 2016). For the j^{th} repetition:

326 2.1. defined a vector containing two values ($\beta_{0(j)}$ and $\beta_{1(j)}$) sampled at a time from a bivariate
 327 normal distribution (based on variance-covariance matrix of the allometric model developed
 328 at step 1.6, and on model parameters, $\beta_{0(k)}$ and $\beta_{1(k)}$, from step 1.4);

329 2.2. defined a vector containing one error term (ε_j) sampled at a time (one for each j^{th} repetition)
 330 from a normal distribution with the standard deviation equal to the residual standard error of
 331 the k^{th} allometric model (Eq. 5).

332 2.3. calculate the predicted biomass for each tree (\widehat{AGB}_i) in the plot based on the sampled
 333 parameters (from step 2.1) and error (from step 2.2):

$$334 \quad \widehat{AGB}_i = \exp(\beta_{0(j)} + \beta_{1(j)} \cdot D_i + \varepsilon_j) \quad (\text{Eq. 7})$$

335 2.4. calculate the predicted plot biomass (\widehat{AGB}_j) as the sum of individual tree predictions:

$$336 \quad \widehat{AGB}_j = \sum_{i=1}^m \widehat{AGB}_i \quad (\text{Eq. 8})$$

337 Where $m = 21$, and m is the total number of trees in the plot.

338 3. The mean plot biomass, standard error of the mean and the relative bias were calculated over all J
 339 repetitions:

340 3.1. the mean predicted plot AGB over J repetitions:

$$341 \quad \overline{\widehat{AGB}_k} = \frac{1}{J} \sum_{j=1}^J \widehat{AGB}_j \quad (\text{Eq. 9})$$

342 3.2. standard error of the mean:

$$343 \quad \hat{\sigma}_k = \sqrt{\frac{1}{J-1} \sum_{j=1}^J (\widehat{AGB}_j - \overline{\widehat{AGB}_k})^2} \quad (\text{Eq. 10})$$

344 3.3. relative bias:

$$345 \quad \text{Bias}_k(\%) = \frac{(\overline{\widehat{AGB}_k} - \mu)}{\mu} \cdot 100 \quad (\text{Eq. 11})$$

346 where μ is the plot AGB, based on true population parameters (plot true AGB) and was calculated
 347 by applying the model based on true parameters (see Eq. 2) with a correction factor (Baskerville,

1972; Goldberger, 1968). The model was applied to all $m = 21$ trees in the plot and then the sum of individual tree biomasses was calculated. RSE is the residual standard error and can take one of two possible values, 0.2 and 0.3 (see section 2.2):

$$\mu = \sum_{i=1}^m (\exp(2.11 + \frac{RSE^2}{2}) \cdot D_i^{2.33}) \quad (\text{Eq. 12})$$

4. Measures of prediction accuracy and precision were calculated over all simulations ($K = 5000$ simulations):

4.1. The standard deviation of relative bias, reported as a measure of prediction accuracy (P_A):

$$P_A = \sqrt{\frac{1}{K-1} \sum_{k=1}^K (\text{Bias}_k - \overline{\text{Bias}})^2} \quad (\text{Eq. 13})$$

Where $\overline{\text{Bias}} = \frac{1}{K} \sum_{k=1}^K (\text{Bias}_k)$

4.2. The mean coefficient of variation of predicted biomass, reported as a measure of prediction precision (P_P):

$$P_P = \frac{1}{K} \sum_{k=1}^K \frac{\hat{\sigma}_k}{\overline{\text{AGB}}_k} \cdot 100 \quad (\text{Eq. 14})$$

Where $\hat{\sigma}_k$ is the standard error of predicted biomass (Eq. 10); $\overline{\text{AGB}}_k$ is the mean predicted plot biomass (Eq. 9).

362

363 2.6. Prediction accuracy and precision

364 Prediction accuracy and precision are used to describe the performance of an estimator (Walther and
 365 Moore, 2005). This study adopts the definition that prediction accuracy is the difference between a
 366 predicted value and the true value (Walther and Moore, 2005). Because our simulation design
 367 calculated 5000 values (therefore 5000 ‘differences’ between predicted and true plot AGB, which are
 368 normally distributed with a mean of zero), accuracy was reported as the standard deviation for these
 369 5000 values (Standard deviation of relative bias, P_A , Eq. 13). Furthermore, prediction precision is a
 370 measure of ‘the statistical variance of an estimation procedure’ (Walther and Moore, 2005) which is a
 371 form of uncertainty arising from random variation. In this study, the precision was reported as the
 372 mean coefficient of variation of predicted biomass at plot level (P_P) in Eq. 14.

373

374 **2.7. Data processing**

375 Simulation analyses were performed in R (R Core Team, 2017) with the RStudio interface (RStudio

376 Team, 2016) and using the packages “MASS” (Venables and Ripley, 2002) and “rtruncnorm”

377 (Mersmann et al., 2018).

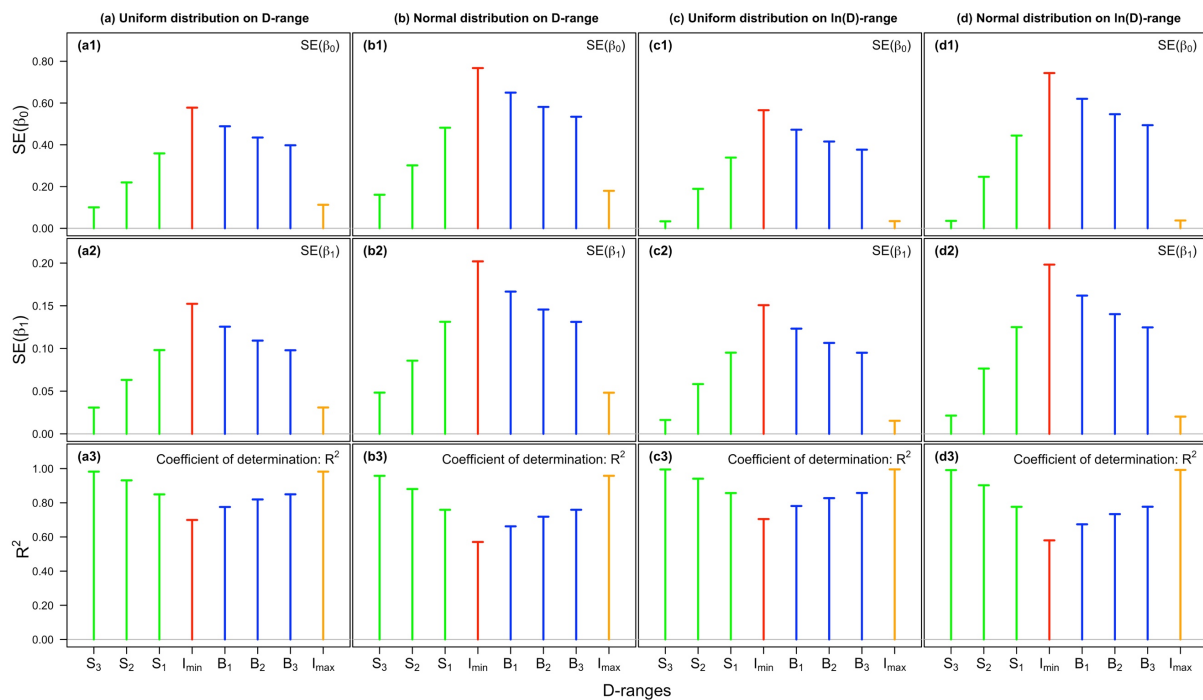
378

379 **3. Results**

380

381 **3.1. The effects on standard errors of model parameters and on goodness of fit**

382 The simulation results demonstrate that with increasing D-range, the standard errors of model
 383 parameters ($SE(\beta_0)$ and $SE(\beta_1)$ in Eq. 5) decreased while the R^2 values (Eq. 6) increased (Fig. 3 and
 384 Appendix 1). Greater standard errors denote a less precise estimation of model parameters, whereas
 385 larger R^2 values indicate a better fit of the model to the data. The effects were stronger when the D-
 386 range increased towards including small trees (Fig. 3, $S_1 - S_3$) compared to large diameter trees (Fig.
 387 3, $B_1 - B_3$). When increasing the extent of D-range, the largest reduction of $SE(\beta_0)$ and $SE(\beta_1)$ and the
 388 largest increase of R^2 occurred for normal distribution on $\ln(D)$ -range (Fig. 3, d1-d3). Although in
 389 Fig. 3 only presents results for $n = 100$ and $RSE = 0.3$, similar patterns were obtained for other values
 390 of sample size and RSE (Appendix 1).



391

392 **Fig. 3.** The standard errors of model parameters $SE(\beta_0)$ and $SE(\beta_1)$, and the model goodness of fit (R^2)
 393 for a log-log transformed allometric biomass model (Eq. 5), different types of sample tree distribution
 394 and different D-ranges. For D-ranges S_3 to I_{max} (x-axis), see Table 1. Note: Each column of graphs,
 395 referred to as (a) to (d), represents a different type of sample tree distribution (for more information

396 see section 2.3.4); $SE(\beta_0)$ is the standard error of the intercept in Eq. 5 and was calculated as the mean
397 over all $K=5000$ simulations: $SE(\beta_0) = \frac{1}{K} \sum_{k=1}^K [SE(\beta_{0(k)})]$, where $SE(\beta_{0(k)})$ is from step 1.5 in section
398 2.5; $SE(\beta_1)$ is the standard error of the slope in Eq. 5, calculated as $SE(\beta_1) = \frac{1}{K} \sum_{k=1}^K [SE(\beta_{1(k)})]$,
399 where $SE(\beta_{1(k)})$ is from step 1.5 in section 2.5; R^2 is the coefficient of determination, calculated as
400 $R^2 = \frac{1}{K} \sum_{k=1}^K (R^2_{(k)})$, where $R^2_{(k)}$ is from Eq. 6. This figure only presents data for models based on one
401 value of sample size ($n = 100$) and one value of residual standard error ($RSE = 0.3$); the data for all
402 values of sample size tested in this study (i.e. $n = 100$, $n = 150$ and $n = 1000$) and all values of RSE
403 (i.e. $RSE = 0.2$ and $RSE = 0.3$) are presented in Appendix 1.

404

405 The standard errors of model parameters were affected by both RSE and sample size. However, the
406 model goodness of fit (R^2) was affected mainly by the RSE with sample size only having a slight
407 influence.

408 When RSE was increased by 50% (from 0.2 to 0.3) the standard errors of model parameters
409 (intercept and slope) increased by the same 50% rate ($SD = 0.31\%$; calculated based on values
410 presented in Table A1, and Table A2 in Appendix1) whereas the effect on R^2 was dependent on the
411 extent of the D-range and on the type of distribution (Fig. 3). For models based on smaller D-ranges
412 and on trees sampled over a normal distribution (on either D or $\ln(D)$), the effects of increasing RSE
413 on R^2 were stronger.

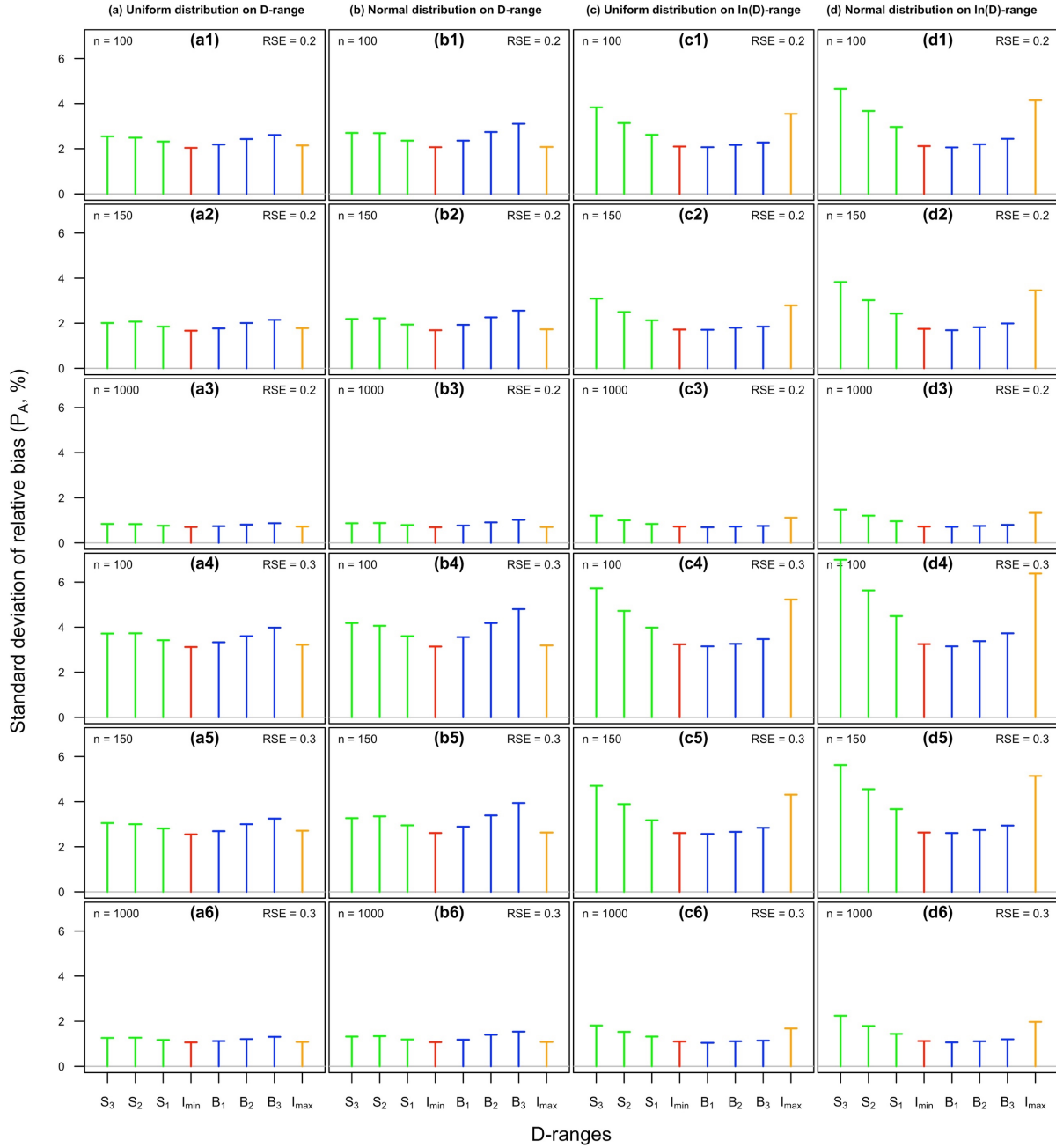
414 When sample size was increased by 50% (from 100 to 150 trees), the standard errors of
415 model parameters reduced, on average, by 18.7% ($SD = 0.36\%$). When sample size was increased by
416 1000% (from 100 to 1000) the standard errors decreased by 68.7% ($SD = 0.33\%$). Nevertheless,
417 increasing the sample size by 50% (from 100 to 150) and tenfold (from 100 to 1000) led to relatively
418 small changes in mean values for R^2 of only 0.07% and 0.18% respectively (see Appendix 1).

419

420 3.2. The effects on biomass prediction accuracy

421

422 As expected, residual standard error (RSE) was an important driver for prediction accuracy (expressed
423 as standard deviation of relative bias, P_A , Eq. 13). A low P_A value means that the difference between
424 predicted AGB and true AGB is small, and therefore the model is more accurate. When RSE was
425 increased from 0.2 to 0.3 (therefore, by 50%), P_A increased by approximately the same ratio (i.e. by
426 an average of 51.4%, SD = 2.3%; mean and SD were calculated from 96 P_A values presented in Table
427 A4, Appendix 1, using all possible permutations for 8 D-ranges, 3 values of sample size and 4 types of
428 distribution). The effect was stronger for models based on shorter D-ranges (Fig. 4 and Table A4 in
429 Appendix 1). Sample size was also an important factor affecting biomass prediction accuracy,
430 although its effect was weaker when compared to that of RSE. When sample size was increased by
431 50% (from 100 to 150), P_A decreased by an average of 18.4% (SD = 1.2%; calculated on 96 values in
432 Table A4). Increasing the sample size by tenfold (from 100 to 1000) resulted in an average decrease
433 of P_A of 67% (SD = 0.8%; calculated on 96 values in Table A4). These effects were very similar to
434 those found for standard errors of model parameters (when sample size increased by 50%, the
435 standard errors decreased by 18.7%; when sample size increased tenfold, the standard errors
436 decreased by 68.7%).



437

438 **Fig. 4.** The standard deviation of relative bias, describing prediction accuracy (P_A , Eq. 13, see section
 439 2.5) for different characteristics of the sample. For D-ranges S_3 to I_{\max} (x-axis), see Table 1. Note:

440 Each column of graphs, referred to as (a) to (d), represents a different type of sample tree distribution

441 (for more information see section 2.3.4); The rows 1-3 are for sample sizes (n) of 100, 150 and 1000

442 trees respectively and $RSE = 0.2$. Rows 4-6 repeat the same sample sizes for $RSE = 0.3$.

443

444 The variation in P_A values was lowest for uniform distribution on D-range (Fig. 4, a1-a6). This means
445 that models constructed with trees selected along a uniform distribution of D-range produced more
446 stable prediction accuracies across the D-range represented by models. In other words, sampling a
447 constant number of trees for each D-class mitigates losses in allometric model accuracy when only
448 limited D-range is available for prediction.

449 However, models that were based on trees selected over uniform or normal distributions over
450 transformed $\ln(D)$ range (Fig. 4, c1-c6 and d1-d6), produced larger P_A values for $S_1 - S_3$ ranges
451 compared to $B_1 - B_3$. The cause of these differences lies in how well the model was informed over the
452 range of $D = 30$ to 60 cm. We mentioned above (section 2.3.4) that the uniform or normal distribution
453 on $\ln(D)$ range (see Fig. 1, c1, c2, d1 and d2) assume that a greater number of smaller trees are
454 selected than larger ones. Therefore, the models based on uniform and normal distribution on $\ln(D)$ -
455 range (Fig. 4, c1-c6 and d1-d6) are better informed towards the left (small tree) side of D-range
456 distribution. However, the models based on $S_1 - S_3$ (in Fig. 4, c1-c6 and d1-d6) emphasise the right
457 (larger tree) side of D-range for prediction (e.g. models based on S_3 were developed for $D = 0.1$ to 60
458 cm and were used to predict biomass of trees with $D = 30$ to 60 cm), which is less well informed.
459 Therefore, the models based on $B_1 - B_3$ ranges produced more accurate predictions of AGB compared
460 to models based on $S_1 - S_3$ ranges.

461 Because the models based on $S_1 - S_3$ and $B_1 - B_3$ ranges used only part of the entire available
462 D-range for prediction (e.g. the model based on S_3 although being developed for $D = 0.1$ to 60 cm,
463 was used to predict the biomass of trees with $D = 30$ to 60 cm), these were preferentially tuned to
464 predict I_{\min} with $S_1 - S_3$ or $B_1 - B_3$. Since prediction accuracy is poorer at the margins of D-range (for
465 any given model) it is to be expected that P_A values increase slightly (for models based on $S_1 - S_3$ and
466 $B_1 - B_3$ in comparison to models based on I_{\min}). However, both I_{\min} and I_{\max} based models used the
467 central portion of D-range for prediction and therefore these two can be compared to assess how
468 increasing the extent of D-range affects prediction accuracy. Increasing the range from I_{\min} to I_{\max} did
469 not improve the prediction accuracy and had the opposite effect. This was especially notable for
470 distributions on $\ln(D)$ -range (Fig. 4, c1-c6 and d1-d6) for which the P_A value increased by up to 98%.

471 For models based on uniform and normal distribution on D-range (Fig. 4, a1-a6 and b1-b6) a much
472 smaller increase, of up to 6.6%, was observed.

473 We demonstrated the effects of increasing D-range from I_{\min} to I_{\max} when the number of
474 observations remained constant. Therefore, although the models based on I_{\max} exhibit greater R^2 and
475 smaller standard errors for model parameters (Fig. 3), their prediction accuracy was poorer compared
476 to models based on I_{\min} (Fig. 4, see I_{\min} vs. I_{\max}). This suggests that the absolute number or density of
477 observations for each part of D-range (or for each diameter class) is important. For the specific D-
478 range of the plot data (i.e. $D = 30$ to 60 cm), the models based on I_{\max} had a lower density of
479 observations, compared to models based on I_{\min} , since the same number of observations had to be
480 distributed over a wider D-range (in the case of I_{\max} based models). These results are important,
481 because they demonstrate in comparison to model fitting and the standard errors of model parameters,
482 that RSE (in log-log scale) and the absolute number of trees across the D-range are more important
483 determinants of prediction accuracy.

484

485 **3.3. The effects on biomass prediction precision**

486

487 Although increasing the D-range the standard errors of model parameters decrease and the R^2
488 increases (Fig. 3), producing therefore improved models, this improvement was not reflected in the
489 precision of biomass prediction (here, expressed as the mean coefficient of variation of predicted
490 biomass, P_p , in Eq. 14). The P_p did not decrease with the increasing D-range and in some cases even
491 increased slightly (Table 2).

492

493 **Table 2**

494 The mean coefficient of variation of predicted biomass (P_p , Eq. 14), for uniform and normal
495 distribution on D-range and $\ln(D)$ range, for sample sizes of $n = 100$, $n = 150$ and $n = 1000$, for
496 residual standard error $RSE = 0.2$ and $RSE = 0.3$ and for D-ranges S_3 , S_2 , S_1 , I_{\min} , B_1 , B_2 , B_3 and I_{\max}
497 (for more information on D-ranges, see Table 1).

D-range	Uniform distribution on D-range			Normal distribution on D-range			Uniform distribution on ln(D)-range			Normal distribution on ln(D)-range		
	n=100	n=150	n=1000	n=100	n=150	n=1000	n=100	n=150	n=1000	n=100	n=150	n=1000
RSE = 0.2												
S ₃	20.32	20.29	20.22	20.35	20.30	20.22	20.53	20.41	20.23	20.78	20.54	20.26
S ₂	20.31	20.24	20.21	20.32	20.32	20.20	20.44	20.33	20.22	20.54	20.38	20.22
S ₁	20.30	20.26	20.20	20.30	20.30	20.22	20.39	20.29	20.20	20.44	20.34	20.22
I _{min}	20.27	20.25	20.20	20.30	20.25	20.21	20.28	20.28	20.20	20.26	20.23	20.20
B ₁	20.28	20.27	20.21	20.28	20.25	20.21	20.25	20.26	20.21	20.26	20.27	20.21
B ₂	20.29	20.31	20.21	20.39	20.31	20.22	20.29	20.26	20.20	20.25	20.25	20.21
B ₃	20.35	20.32	20.21	20.45	20.35	20.23	20.27	20.27	20.21	20.30	20.27	20.21
I _{max}	20.28	20.25	20.21	20.31	20.27	20.21	20.49	20.36	20.20	20.64	20.49	20.25
RSE = 0.3												
S ₃	30.94	30.82	30.72	31.07	30.82	30.73	31.30	31.02	30.74	31.68	31.36	30.78
S ₂	30.91	30.82	30.71	30.96	30.88	30.70	31.18	30.98	30.73	31.28	31.01	30.75
S ₁	30.85	30.81	30.72	30.90	30.88	30.71	30.98	30.89	30.72	31.08	30.94	30.72
I _{min}	30.80	30.78	30.71	30.81	30.78	30.69	30.85	30.80	30.71	30.89	30.83	30.70
B ₁	30.86	30.75	30.70	30.90	30.85	30.70	30.89	30.81	30.72	30.85	30.80	30.68
B ₂	30.95	30.83	30.69	31.04	30.83	30.73	30.94	30.77	30.69	30.93	30.83	30.70
B ₃	30.93	30.88	30.72	31.09	30.98	30.73	30.92	30.81	30.70	30.96	30.88	30.72
I _{max}	30.82	30.78	30.71	30.79	30.76	30.70	31.22	30.99	30.73	31.44	31.14	30.76

498

499 From Table 2 it can be seen that P_P is highly related to residual standard error (RSE). Earlier it was
500 mentioned (section 2.2) that RSE in log-log scale can be interpreted as a form of coefficient of
501 variation for the original D-range scale. The slight increases in P_P values over and above base levels
502 of 20% and 30% (for RSE values of 0.2 and 0.3 respectively) are due to uncertainty in model
503 parameters, since P_P values contain errors propagated from both model parameters and residual
504 variance. Therefore, RSE was the main driver of model prediction precision, with a very small
505 proportion produced by uncertainty in model parameters (up to 5.3%). Increasing RSE by 50% (from
506 0.2 to 0.3) resulted in an average increase in P_P of 52.1% (SD = 0.2%; the mean and SD were
507 calculated on the 96 P_P values presented in Table 2, for each value of RSE), regardless of sample size,
508 D-range and distribution type. However, sample size, although greatly influencing prediction
509 accuracy, had little effect on prediction precision. Since increasing the sample size directly affected
510 the standard errors of model parameters (producing a decrease in standard errors) and since the
511 propagated errors from model parameters represent only a very small proportion of P_P (up to 5.3%), it
512 is to be expected that sample size will have little effect on prediction precision. Increasing the number
513 of observations by 50% (from 100 to 150), had the effect of reducing P_P by 0.33% (SD = 0.29%), and
514 increasing observations tenfold (from 100 to 1000) led to a reduction in P_P by 0.81% (SD = 0.56%).

515 However, both these effects were found not to be significantly different from zero change ($p = 0.26$
516 and $p = 0.16$ respectively).
517

518 4. Discussion

519

520 4.1. Factors influencing biomass prediction accuracy and precision

521 The effects of tree sampling and data treatment approaches on biomass prediction accuracy and
522 precision are subtle and can sometimes be counterintuitive. Findings here reveal certain
523 characteristics of sampling strategies that are important for improving model prediction accuracy and
524 precision. Of these it is the natural variability of the AGB-D relationship (expressed by RSE) that is
525 the main driver for prediction accuracy and precision, thus an increase in RSE of 50% resulted in
526 proportionally similar improvement in accuracy and precision. Increasing sample size was also found
527 to be important for improving model accuracy but less so for improving precision. The finding that
528 the effect of sample size on prediction accuracy depended on RSE and D-range, and was a function of
529 $1/\sqrt{n}$, where n is the sample size, was consistent with results published from earlier studies (Chave et
530 al., 2004; Picard et al., 2012).

531 Analyses demonstrate how a wider D-range improves model fit and the standard errors of
532 model parameters (Fig. 3). This may also help to ensure that results from statistical tests are properly
533 representative of allometric model performance, because the reduction of standard errors will increase
534 the likelihood that null hypotheses (for no difference) are correctly rejected in analyses such as t- and
535 F- tests (Dutcă et al., 2018b). However, we also showed that, although the model based on a wider D-
536 range had a better fit, the prediction accuracy was poorer (Fig. 4, see I_{\min} vs. I_{\max}). This result, which
537 may be surprising, can be explained by the frequency of the observations across the D-range. If the
538 number of observations remain constant, increasing the D-range inevitably reduces the density of
539 observations with negative consequences on AGB (aboveground biomass) prediction accuracy. Often,
540 increasing the range of D is achieved by merging datasets for different D-ranges. In this event, the
541 density of observations across the D-range is not reduced and the resulting increase of sample size
542 increases prediction accuracy.

543 Furthermore, Roxburgh et al. (2015) suggested that the optimal size distribution of sample
544 trees to develop allometric models is the one that most closely matches the distribution of trees to
545 which the model is applied. Although our plot data appears to be lognormally distributed (Fig. 2), the

546 greatest accuracy (lowest P_A value) was obtained for models based on a uniform distribution of D-
547 range. This finding is in contradiction with results reported by Roxburgh et al. (2015). Because our
548 plot D data only appeared to be lognormal, we further investigated this phenomenon by generating a
549 new D dataset of 1000 observations lognormally distributed on I_{\max} range. We investigated whether
550 the model based on uniform distribution (developed for the same I_{\max} range) produced lower P_A and
551 P_P values (when predicting AGB of this new D dataset of 1000 observations) than the model based on
552 lognormal distribution. The results confirmed that uniform distribution on D-range produced lower P_A
553 and P_P values (model based on uniform distribution: $P_A = 3.2\%$ and $P_P = 30.8\%$; model based on
554 lognormal distribution: $P_A = 6.3\%$ and $P_P = 31.4\%$). We repeated the comparison, for models based on
555 uniform vs. normal distribution on D-range, when predicting AGB of 1000 trees normally distributed.
556 Again, the model based on uniform distribution produced lower P_A and P_P values compared to model
557 based on normally distributed sample trees (model based on uniform distribution: $P_A = 3.5\%$ and P_P
558 $=30.8\%$; model based on normal distribution: $P_A = 3.6\%$ and $P_P = 30.9\%$). Therefore, our results
559 indicate that models based on uniform distribution of the sample trees on D-range perform better
560 (produce more accurate and precise predictions) regardless of distribution of the trees to which the
561 model is applied.

562

563 **4.2. Small trees are more informative in allometric models**

564 We demonstrate that, for models based on similar number of observations and similar extent of D-
565 range (and similar residual standard errors in logarithmic scale), if models include smaller diameter
566 trees, the standard errors of model parameters were reduced and R^2 values were greater (e.g. see S_3 vs.
567 B_3 in Fig. 3). Therefore, it is suggested that small trees are generally more informative in allometric
568 models, compared to large trees. However, this seemingly anomalous finding can be explained by (or
569 represents the indirect effect of) the heteroscedastic nature of the relationship between biomass and
570 tree diameter. The variance in allometric models is not constant and increases with D (Zianis, 2008).
571 As a result, to fit a nonlinear model the observations are usually weighted inversely to residual
572 variance (the lower the residual variance, the larger the weight and vice-versa) (Dutcă et al., 2019).
573 Logarithmic transformation on the other hand, performs a similar function: it re-scales data so that

574 units are stretched for small values of variables (D and AGB) and compressed for large ones.
575 Therefore, log-log transformation more heavily weights the influence of small trees over large ones,
576 to ensure that residuals are comparable residuals across predictor range (i.e. homoscedasticity).

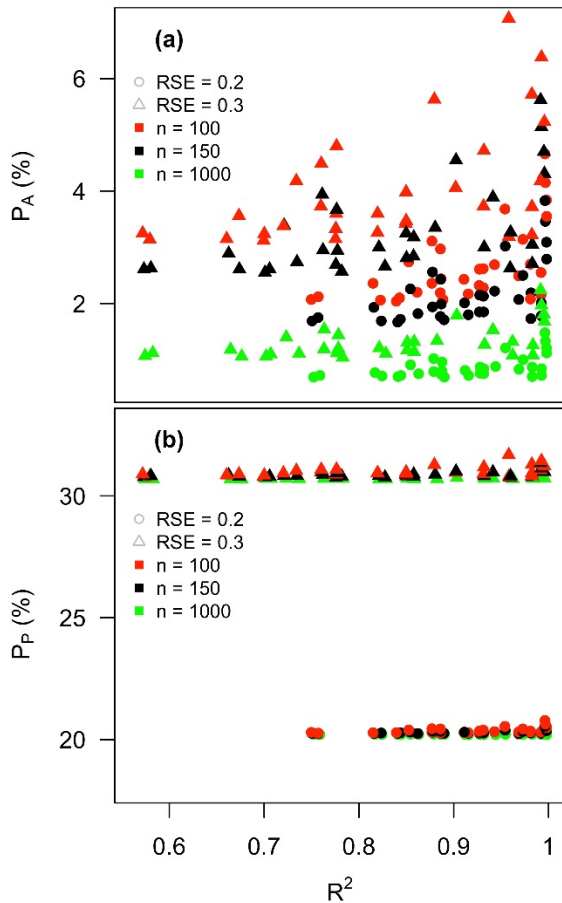
577 As the lowest residual variance usually occurs for the smallest D values (Zianis and
578 Mencuccini, 2004), small trees are more heavily weighted and have a greater influence on regression
579 models than larger trees. Therefore, small trees impart more information to models, and exert greater
580 overall influence over the standard errors of model parameters and goodness of fit. Given the fact that
581 small trees require less effort for biomass measurement, they are highly cost-effective to sample.
582 Nevertheless, we have demonstrated that, although the models that included small trees produced
583 smaller standard errors of model parameters and larger R^2 values, they did not necessarily produce
584 more accurate or precise predictions of AGB (Fig. 4 and Table 2).

585

586 **4.3. Selection criteria of allometric models**

587 Goodness of fit (R^2 of linear model in log-log scale) is often reported with allometric biomass models,
588 and is widely accepted as a criterion for model selection (Sanquetta et al., 2018). The assumption is
589 that a model with the best fit will reasonably predict the biomass of other trees. Our results confirm
590 that R^2 was not affected by sample size (Sanquetta et al., 2018). However, we showed that R^2 was a
591 poor indicator of model prediction performance with respect to both accuracy and precision. Plotting
592 the R^2 against P_A (Fig. 5, a) and P_P (Fig. 5, b) we observed no clear relationship between R^2 and
593 model prediction accuracy or precision.

594 Although not sensitive to changes in sample size, R^2 was sensitive to variations in D-range
595 (Fig. 3 and Appendix 1). Models yielded greater values of R^2 for the maximum extents of D-range
596 (i.e. I_{\max} , see Fig. 3) and when distribution of sampled trees was uniform on $\ln(D)$ -range ($R^2 = 0.998$,
597 Fig. 3 and Table A3, Appendix 1). However, we showed that the extent of D-range did not affect
598 prediction accuracy nor precision, and that actually the models based on trees sampled along a $\ln(D)$ -
599 range produced poorer prediction accuracies. These findings suggest that R^2 may not be a reliable
600 indicator of model prediction performance.



601

602 **Fig. 5.** The relationship between model goodness of fit (R^2 , Eq. 6) and prediction accuracy (P_A ,
603 standard deviation of relative bias in %, Eq. 13) (a) and between R^2 and prediction precision (P_P ,
604 mean coefficient of variation of predicted biomass in %, Eq. 14) (b). Note: The plotted P_A values are
605 from Table A4 (Appendix 1); the P_P values are from Table 2; the model R^2 values are from Table A3
606 (Appendix 1); larger P_A values show lower prediction accuracy; larger P_P values show lower
607 prediction precision.

608

609 4.4. Limitations of the study

610 Our study has the following limitations. Firstly, the conclusions are only valid if the assumptions hold
611 that heteroscedasticity is removed by logarithmic transformation and that errors are normally
612 distributed in log-log scale. Secondly, because the study was limited to the relationship between AGB
613 and D , the conclusions should not be extrapolated to other types of relationships. Thirdly, this study
614 did not consider the uncertainty arising from between site variation. Fourthly and finally, we have

615 assumed that the diameters of trees in the inventory (plot) dataset were always within the D-range
616 used to construct the model. We did not investigate the consequences of predicting AGB of trees
617 outside the range of diameters used to construct the models.

618

619 **4.5. Recommendations**

620 Study findings suggest that the following guidelines will be useful in the preparation of reliable
621 allometric models:

- 622 (1) **Select a constant number of trees for each D class (use a uniform distribution of sample**
623 **trees).** Results demonstrate that the models based on uniformly distributed sample trees over the
624 D-range (D is the diameter at breast height) produced more accurate AGB predictions (AGB is
625 the aboveground tree biomass), regardless of D-distribution of the inventory dataset. Also,
626 variations in prediction accuracy across D-range were minimal.
- 627 (2) **Using R^2 as criterion for model selection should be done with caution.** Findings suggest that
628 R^2 (coefficient of determination) alone is not a strong indicator of model prediction performance.
- 629 (3) **Use strategies to avoid unnecessary large levels of RSE in allometric models.** Because RSE
630 (Residual Standard Error of the model in log-scale) is indicative of the intrinsic AGB variability
631 for any given D, it cannot be naturally reduced. However, because RSE was a key driver of both
632 prediction accuracy and precision, it is recommended that strategies are adopted to help reduce
633 unnecessary AGB variability, such as: (i) avoiding using generic allometric models, where
634 species effect is ignored and, therefore, to use species-specific allometric models wherever
635 possible; (ii) test and include additional predictors in the models that may explain part of the
636 residual variance, such as tree height, crown diameter and wood density.

637 **5. Conclusions**

638 The key conclusions drawn from this study are as follow: (i) residual variance was the most important
639 driver of model's prediction accuracy and precision; (ii) increasing the sample size improved
640 prediction accuracy (although its effect was weaker than that of residual standard error), but had
641 negligible effect on prediction precision; (iii) increasing the extent of D-range, although improving
642 both the goodness of fit and standard errors of model parameters, did not affect prediction accuracy
643 nor precision; (iv) the size distribution of sample trees was important for prediction accuracy; we
644 found that uniform distribution of D-range was optimal, regardless of the distribution of the inventory
645 dataset; (v) small trees were more informative in allometric models, due to the effects of inherently
646 heteroscedastic variance; (vi) R^2 was not a good indicator of prediction performance of allometric
647 models.

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